

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: **PEDRO MATA LOPEZ, ET AL.**

Serial No.: **10/542,937**

Group No.: **1634**

Filed **SEPTEMBER 8, 2006**

Examiner: **KAPUSHOC, STEPHEN T.**

For: **METHOD AND DEVICE FOR THE DETECTION OF MUTATIONS IN  
INSOLATED GENE SEQUENCES OF LOW-DENSITY LIPOPROTEIN  
RECEPTOR (LDL-R) WHICH IS ASSOCIATED WITH FAMILIAL  
HYPERCHOLESTEROLEMIA**

Attorney Docket No.: **U 015859-4 CONFIRMATION NO: 5381**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED NOTICE OF RECORDATION**

It is respectfully requested that the attached request for corrected notice of recordation be acted on. As shown by the copy of the date stamped postcard the request was received on February 13, 2008.

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Date: September 23, 2009

Janet I. Cord

*type or print name of person certifying*

Respectfully submitted,



JANET I. CORD  
LADAS & PARRY LLP  
26 WEST 61ST STREET  
NEW YORK, NEW YORK 10023  
REG. NO. 33778 (212)708-1935

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Pedro Mata **LOPEZ**, et al  
Serial No.: 10/542,937 Group No.: 1651  
Filed: September 8, 2006 Examiner: --  
For: METHOD AND DEVICE FOR THE DETECTION OF MUTATIONS IN ISOLATED  
GENE SEQUENCES OF LOW-DENSITY LIPOPROTEIN RECEPTOR (LDL-R)  
WHICH IS ASSOCIATED WITH FAMILIAL HYPERCHOLESTEROLEMIA

**Mail Stop Assignments**  
Director of the United States Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED NOTICE OF RECORDATION**

The Notice of Recordation of January 22, 2008, the Cover Sheet and the Assignment therefor  
are attached.

Please [X] correct "TECHNOLOGICO" should be -- TECNOLOGICO --

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Date: February 8, 2008 

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Signature**JANET I. CORD**

(type or print name of person certifying)

Reg. No.: 33,778

Tel. No.: (212)708-1935

Customer No.: 00140

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**SIGNATURE OF PRACTITIONER**

---

JANET I. CORD

*(type or print name of practitioner)*

---

P.O. Address

---

c/o Ladas & Parry LLP  
26 West 61<sup>st</sup> Street  
New York, N.Y. 10023



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 22, 2008

PTAS



JANET I. CORD  
LADAS & PARRY LLP  
26 WEST 61ST STREET  
NEW YORK, N.Y. 10023

### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 01/16/2008

REEL/FRAME: 020390/0770  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: U 015859-4

ASSIGNEE:  
LACER, S.A.

DOC DATE: 11/13/2007

ASSIGNEE:  
PROGENIKA BIOPHARMA, S.A.  
PARQUE TECHNOLOGICO DE  
BIZKAIA, EDIFICIO 801B  
48160 DERIO, VIZCAYA, SPAIN

SERIAL NUMBER: 10542937  
PATENT NUMBER:  
TITLE: METHOD AND DEVICE FOR THE DETECTION OF MUTATIONS IN ISOLATED GENE  
SEQUENCES OF THE LOW-DENSITY LIPOPROTEIN RECEPTOR (LDL-R) WHICH IS  
ASSOCIATED WITH FAMILIAL HYPERCHOLESTEROLEMIA

FILING DATE: 09/08/2006  
ISSUE DATE:

020390/0770 PAGE 2

JEEVON JONES, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION



RECORD

01-18-2008

Attorney Docket U 015859-4

To the Director of Pat...

103476415

Document copy

(with signed English translation in \_\_\_\_\_)

## 1. Name of conveying party(ies):

1. LACER, S.A.
- 2.
- 3.

Additional name(s) of conveying party(ies) attached?

 Yes  No

## 3. Nature of conveyance:

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> Assignment                      | <input type="checkbox"/> Merger              |
| <input type="checkbox"/> Security Agreement                         | <input type="checkbox"/> Change of Name      |
| <input type="checkbox"/> Joint Research Agreement                   | <input type="checkbox"/> Change of Address * |
| <input type="checkbox"/> Government Interest Assignment             | (old address above)                          |
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| <input type="checkbox"/> Other _____                                |  |

Execution Date(s): 1. NOVEMBER 13, 2007

2.

3.

## 4. Application number(s) or patent number(s)

 Being filed together with a new application. Patent Application No.(s): 10/542,937 Patent No.(s):Additional numbers attached?  Yes No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JANET I. CORD

Address: Ladas & Parry LLP  
26 West 61<sup>st</sup> Street  
New York, N.Y. 10023  
(fax) (212) 246-8959

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) 1 @ \$40.00 each Check Enclosed Authorized to be charged in whole or in part to:

8. Deposit account number: 12-0425

## 9. Signature:

JANET I. CORD  
Name of Person Signing

Total number of pages including coversheet, attachments, and documents:

01/17/2008 MJAMAI 00000014 18542937  
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5

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For: [ ] U.S. Patent  
For: [ ] PCT Application  
By: [ ] Inventor(s) or [ ] Present Owner

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00),  
the receipt of which is hereby acknowledged, and for other good and valuable consideration,

#### ASSIGNOR:

Inventor(s) or person(s) or entity(ies)  
who own the invention

LACER, S.A.

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Address

08025 Barcelona - Spain

Spanish

Nationality

*(if assignment is by person or entity to whom invention was previously assigned  
and this was recorded in PTO, add the following)*

Recorded on September 8, 2006

Reel 018299

Frame 0600

hereby sells, assigns and transfers to

#### ASSIGNEE:

PROGENIKA BIOPHARMA, S.A.

(type or print name(s) of ASSIGNEE(S))

PARQUE TECNOLÓGICO DE BIZKAIA,

Address

EDIFICIO 80IB, 48160 DERIO,

VIZCAYA, SPAIN

SPANISH

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- the entire right, title and interest  
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for the United States and its territorial possessions

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- and in all foreign countries, including all rights to claim priority,  
in and to any and all improvements which are disclosed in the invention entitled:

METHOD AND DEVICE FOR THE DETECTION OF MUTATIONS IN ISOLATED GENE  
SEQUENCES OF THE LOW-DENSITY LIPOPROTEIN RECEPTOR (LDL-R) WHICH IS  
ASSOCIATED WITH FAMILIAL HYPERCHOLESTEROLEMIA

---

Name of inventor(s) MATA LOPEZ, PEDRO  
ALONSO KARLEZI, RODRIGO, ALBERTO  
MOZAS ALONSO, PILAR  
REYES LEAL, GILBERTO  
POCOVI MIERAS, MIGUEL  
CASTILLO FERNANDEZ, SERGIO  
TEJEDOR HERNANDEZ, DIEGO  
MARTINEZ MARTINEZ, ANTONIO  
MALLEN PEREZ, MIGUEL

---

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a)  Not yet filed U.S. patent application executed on even date herewith  
(b)  Not yet filed U.S. patent application executed on \_\_\_\_\_  
(c)  Not yet filed U.S. provisional application naming the above inventor(s) for  
the above-entitled invention  
     To comply with 37 CFR 3.21 for recordal of this assignment, I, an  
    ASSIGNOR signing below, hereby authorize and request my  
    attorney to insert below the filing date and application number when  
    they become known.  
(d)  U.S. patent or provisional patent application no. 10/542,937  
    filed on September 8, 2006  
(e)  International application no. PCT / \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_  
(f)  U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
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(g) [ ] and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING:

*The date of signing must be the same as the date of execution of the application, if item (a) was checked above.*

Date: (x) November 13, 2007

(x) \_\_\_\_\_  
Signature of ASSIGNOR(S)

Date: \_\_\_\_\_

\_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_

(if ASSIGNOR is a legal entity, complete the following information)

(x) HELMUT ANDRESS

*(type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR)*

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Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be *prima facie* evidence of execution. 35 USC 261. Use next page if notarization is desired.

[ ] Notarization or Legalization Page Added.

U 015859-4

February 8, 2008

In re: PEDRO MATA LOPEZ, ET AL

Serial No.: 10/542,937

Group No.: 1651

Filed: September 8, 2006

Examiner: --

For: METHOD AND DEVICE FOR THE DETECTION OF  
MUTATIONS IN ISOLATED GENE SEQUENCES OF  
LOW-DENSITY LIPOPROTEIN RECEPTOR (LDL-R)  
WHICH IS ASSOCIATED WITH FAMILIAL  
HYPERCHOLESTEROLEMIA

Request for Corrected Notice of Recordation; Attachments and Return  
Postcard

Filed: \_\_\_\_\_

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